



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Frank, Scott M., et al.

Group Art Unit: 3629

Serial No.: 09/750,130

Examiner: Ouellette, Jonathan P.

Filed: December 29, 2000

Docket No.: 190252-1210

For: System and Method for Selecting and Protecting Intellectual Property Assets

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

- ☒ under 37 CFR 1.97(b), or
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement Under 37 C.F.R. 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Enclosed is a credit card authorization, authorizing the amount of \$_____. Please charge \$_____ to deposit account 20-0778. At any time during the pendency of this application, please charge any fees required to Deposit Account 20-0778 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 20-0778.

- ☒ Applicant(s) submit herewith *Form PTO 1449A - Information Disclosure Statement by Applicant* together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and

other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. Any statements or identifications regarding the relevance of any portion(s) of cited references should not be construed as a representation that the most relevant portion(s) have been identified, and the absence of such statements or identifications should not be construed as representations that there are no relevant portion(s). The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

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Form PTO-1449

Attorney Docket No.
190252-1210Serial No.
09/750,130**INFORMATION DISCLOSURE CITATION**Applicant
Frank, et al.Filing Date
December 29, 2000Group
3629

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	5,208,765	05-1993	Turnbull, Robert S.	702	84	
	B	5,526,257	06-1996	Lerner, Sam	705	10	
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	G	6,236,977	05-2001	Verba et al.	705	10	01-04-1999
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						Yes No
	Q	WO 01/08031 A2	02-2001	PCT	G06F	17/00

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	R	Dictionary.com, Intellectual Property Definition, printed 05-24-2005
	S	Kempner, Richard; Sapson, Ian, "Many a slip," Managing Intellectual Property, v7n42, PP. 15-26, September 1994
	T	Berkowitz, Leonard; "Getting the most from your patents," Research-Technology Management, v32n2, PP 26-31, Mar/Apr 1993
	U	Hufker, Tim.; Alpert, Frank; "Patents: A managerial Perspective," Journal of Product & Brand Mgmt, v3n4, PP: 44-54, 1994
	V	Kimmerling, George; "A licensing primer for trainers," Training & Development, v51n1, PP 30-35, January 1997
	W	Murray, Kathleen; "HR takes steps to protect trade secrets," Personnel Journal, v73n6, PP 98-109, June 1994
	X	Wineburg, Arthur; Mantell, Edmund H.; Commereical Law Journal, v99n3, PP 366-383, Fall 1994

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

EXAMINER'S SIGNATURE:

DATE CONSIDERED: